

SEBI mandates all RIA's to display the complaint status on the website as shown below. Investors can first contact the intermediary in case of complaints. If the investor's complaint is not redressed satisfactorily, one may lodge a complaint with SEBI on SEBI's 'SCORES' portal. As per SEBI circular no. SEBI/HO/OIAE/OIAE_IAD-1/P/CIR/2023/131 dated July 31, 2023, after exhausting all available options for resolution of the grievance, if the investor/client is still not satisfied with the outcome, he/she/they can initiate dispute resolution.

Data for the month ending: November 30, 2024

Sr. No	Received From	Pending as of last month	Received	Resolved *	Total Pending #	Pending > 3 months	Average Resolution time ^
1	Directly from Investors	0	0	0	0	0	0
2	SEBI - Scores	0	0	0	0	0	0
3	ODR	0	0	0	0	0	0
4	Other Sources	0	0	0	0	0	0

Trend of monthly disposal of complaints

Sr. No	Month	Carried forward from Previous month	Received	Resolved *	Pending #
1	April 2024	0	0	0	0
2	May 2024	0	0	0	0
3	June 2024	0	0	0	0
4	July 2024	0	0	0	0
5	August 2024	0	0	0	0
6	September 2024	0	0	0	0
7	October 2024	0	0	0	0
8	November 2024	0	0	0	0

Trend of annual disposal of complaints

Sr. No	Year	Carried forward from Previous year	Received	Resolved *	Pending #
1	2023-24	0	0	0	0

*Inclusive of complaints of previous months/years resolved in the current month/year | ^ Time in days | # Inclusive of complaints pending as on last day of the month/year

Annual Compliance Disclosure

Disclosure with respect to compliance with Annual compliance audit requirement under Regulation 19(3) of SEBI (Investment Advisers) Regulations, 2013 for the previous and current financial year are as under:

Sr. No	Financial Year	Compliance Status	Remarks, if any
1	FY 2022-23	NA	NA
2	FY 2023-24	Conducted	NA